

FORM PTO-1390
REV. 5-93US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEYS DOCKET NUMBER

P04:0215

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/500,371

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/DE02/04535

11 December 2002

28 December 2001

TITLE OF INVENTION: "ENCAPSULATED COMPONENT WHICH IS SMALL IN TERMS OF HEIGHT AND METHOD
FOR PRODUCING THE SAME"

APPLICANT(S) FOR DO/EO/US

Christian Hoffmann, Jürgen Portmann and Hans Krueger

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (**3 executed Declarations**)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (**PTO 1449, Prior Art, Search Report**).
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (**see attached envelope containing 3 executed Assignments**)
13. ☐ A FIRST preliminary amendment.
A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. ☒ Response to Notification of Missing Requirements (including copy of Notification)
 - b. ☒ EXPRESS MAIL #EV362543795US dated October 8, 2004
 - c. ☐

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P04,0215

17. ☐ The following fees are submitted:

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):

Search Report has been prepared by the EPO or JPO \$950.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) . \$750.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2))..... \$790.00

Neither international preliminary examination fee (37 C.F.R. 1.482) nor international
search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO..... \$1110.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all
claims satisfied provisions of PCT Article 33(2)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from
the earliest claimed priority date (37 C.F.R. 1.492(e)).

CALCULATIONS

PTO USE ONLY

\$130.00

Claims	Number Filed	Number Extra	Rate
Total Claims	26 - 26 =	0	X \$18.00
Independent Claims	2 - 3 =	0	X \$88.00
Multiple Dependent Claims			\$300.00 +

TOTAL OF ABOVE CALCULATIONS =

\$130.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also
be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

SUBTOTAL =

\$130.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from
the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE =

\$130.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +

(SEE ATTACHED
ENVELOPE)

TOTAL FEES ENCLOSED =

\$130.00

10/13/2004 GREY1 00000029 10500371

01 FC:1617

130.00 DP

Amount to be
refunded

charged

a. ☒ A check in the amount of \$130.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A
duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must
be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin LLP
Patent Department
6600 Sears Tower
Chicago, Illinois 60606-6473
Customer Number 26574

SIGNATURE

James D. Hobart

NAME

24,149

Registration Number

5 RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER
35 USC §371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

In response to the Notification of Missing Requirements under 35 USC 371 in the United States Designated/Elected Office (DO/EO/US) (copy attached) mailed September 27, 2004, applicants are attaching herewith three (3) Combined Declarations for Patent Application and Power of Attorney, which identify the PCT Application PCT/DE02/04535 of 11 December 2002, the first Declaration being signed by Christian Hoffmann on June 22, 2004, the second Declaration being signed by Jürgen Portmann on June 22, 2004 and the third Declaration being signed by Hans Krueger on August 9, 2004.

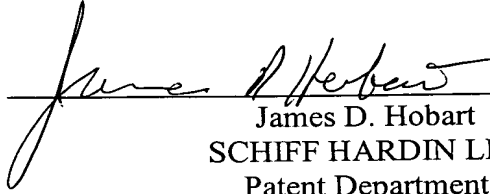
Also attached herewith is the government surcharge of \$130.00. In view of this, it is submitted that the application papers are now complete and it is requested that a Notification of Acceptance be issued.

Respectfully submitted,

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 (Reg. No. 24,149)
James D. Hobart
SCHIFF HARDIN LLP
Patent Department
6600 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606
(312) 258-5781
Customer Number: 26574

DATED: October 8, 2004

CERTIFICATE OF MAILING BY U.S. EXPRESS MAIL

"Express Mail" Mailing Label Number EV362543795US

Date of Deposit: October 8, 2004

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

Case Number: P04,0215

**International Application No.
PCT/DE02/04535**

**International Filing Date
11 December 2002**

**Priority Date Claimed
28 December 2001**

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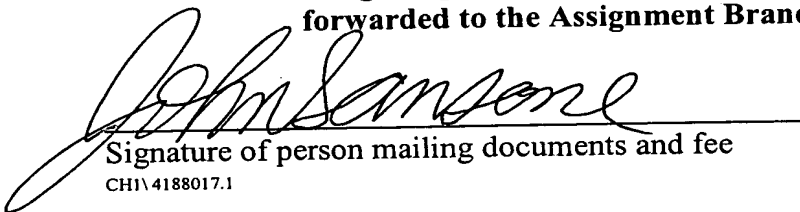
Title: "ENCAPSULATED COMPONENT WHICH IS SMALL IN TERMS OF HEIGHT AND METHOD FOR PRODUCING THE SAME"

Applicant(s): Christian Hoffmann, Jürgen Portmann and Hans Krueger

Enclosed are the following documents:

Form PTO-1390 in duplicate;
Response to Notification of Missing Requirements (including copy of Notification);
Three Executed Declarations;
Government surcharge - \$130.00
Postcard.

**Three Executed Assignments, PTO 1595 form, \$40.00
filing fee, postcard, in attached envelope to be
forwarded to the Assignment Branch.**


Signature of person mailing documents and fee

CHI\4188017.1